



Concept Environmental and Social Review Summary

Concept Stage

(ESRS Concept Stage)

Date Prepared/Updated: 11/03/2021 | Report No: ESRSC02408



BASIC INFORMATION

A. Basic Project Data

Country	Region	Project ID	Parent Project ID (if any)
World	OTHER	P177200	
Project Name	Center on Learning from Evaluation and Results for Lusophone Africa and Brazil		
Practice Area (Lead)	Financing Instrument	Estimated Appraisal Date	Estimated Board Date
Other	Investment Project Financing		12/13/2021
Borrower(s)	Implementing Agency(ies)		
FGV-EESP	Fundação Getulio Vargas - FGV, FGV-CLEAR		

Proposed Development Objective

Financing (in USD Million)	Amount
Total Project Cost	0.51

B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?

No

C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]

D. Environmental and Social Overview

D.1. Detailed project location(s) and salient physical characteristics relevant to the E&S assessment [geographic, environmental, social]

CLEAR LAB is managed by Fundação Getulio Vargas (FGV) at the São Paulo School of Economics, located in Brazil, in partnership with the Independent Evaluation Group (IEG). CLEAR LAB will achieve its development objective by providing high-quality and relevant M&E training and promoting networks and knowledge-sharing opportunities for



targeted beneficiaries in Brazil and Lusophone Africa, including Angola, Cape Verde, Guinea-Bissau, Equatorial Guinea, Mozambique, and Sao Tome and Principe. The project is scheduled to be implemented over a period of three years during 2021-2024. The project component activities that have environmental and social implications are described below,

Activities under Component 1 (Strengthen a Culture of Evidence-based Decision-Making) will include, among other related work, diagnostics and situational analyses, research, compilation of lessons learned, evaluations, assessments, surveys, stakeholder analysis, strategy development, M&E mentoring, and other activities that raise awareness and promote a culture of managing for results, adaptive management, and informed risk-taking to enhance development effectiveness.

Activities under Component 2 (Strengthen a Cadre of Evaluators and M&E Specialists) may include development and delivery of asynchronous online training in M&E, including evidence-based management course, sector-specific training, M&E course tailored to high-level policymakers, a training-of-trainers (ToT) program, design and delivery of in-person and/or virtual workshops, an executive evaluation mentorship program for public officials and provision of scholarships, internships, fellowships, and travel awards, with particular focus on young and emerging evaluators, and support for study tours and expert visits, as well as south-south and north-south knowledge-exchanges.

Activities under Component 3 (generate and share M&E Knowledge) will include generation of knowledge, research, analytical work that capture lessons learned from activities implemented under component 1 and 2, and delivery of the 'Evidence-based Policymaking Award'. This component will also include activities aimed at sharing M&E knowledge, through translating materials and organizing events aimed at disseminating CLEAR LAB's learnings and experiences.

Activities under Component 4 (Center Development, Monitoring, and Evaluation) will include the provision of regular training and skills building for its core staff and support for the overall program management, including administration, oversight, and assessments for each event and training, as well as for the entire program. This component will also support CLEAR LAB's efforts to incorporate feedback and lessons learned into its ECD approach and future activities.

D. 2. Borrower's Institutional Capacity

Fundacao Getulio Vargas (FGV) is the recipient of the grant and implementing agency for the project. FGV was competitively selected to host the regional CLEAR Center for Lusophone Africa and Brazil in 2015. FGV has strong technical and operational capacity and significant experience in conducting high-quality evaluation capacity development activities in-person and online.

FGV, which was founded 76 years ago, mobilized all its forces to innovate in the past years, in various areas of activity, such as research, teaching, public affairs, economics, and public health. As a result, FGV's schools continue to be ranked at the top of the Brazilian Education Ministry's General Course Index (IGC) and FGV is rated the world's third-best think tank and best-managed think tank in the University of Pennsylvania's Global Go To Think Tanks Index. It is with this practice and vision of the future that FGV works, in a wide range of areas related to the teaching, dissemination, and application of knowledge, as shown by the projects carried out in the past years. A dedicated team will be mobilized to deliver the project activities. This team will consist of existing CLEAR LAB staff, but there's a possibility that short-term consultant experts may be engaged. The size and composition of the team are to be



decided during the grant’s initial execution stage. However, due to the size of the grant and the nature of the project, this team is expected to be small.

CLEAR LAB is governed by the rules and regulations of FGV. CLEAR LAB follows the existing Code of Ethics and Conduct of FGV (2017) that reinforces the ethical values, organizational identity, and principles that guide the conduct of FGV’s activities in line with the national requirements of Brazil. FGV’s Code of Ethics and Conduct includes general policies and staff rules related to interactions and engagements of various internal and external stakeholders and staff recruitment processes. FGV does not have prior experience implementing World Bank ESF. FGV does not have explicit policies on Sexual Exploitation and Abuse/Sexual Harassment (SEA/SH) Policy. For the implementation of this grant, FGV will carry out awareness training for project staff on relevant World Bank policies on labor management, stakeholder engagement, SEA/SH, and ESF and assign dedicated staff to manage all labor-related issues arising under the project.

II. SCREENING OF POTENTIAL ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS

A. Environmental and Social Risk Classification (ESRC)

Low

Environmental Risk Rating

Low

The environmental risk from the grant activities is expected to be low. The grant will not support any activity related to the construction or redevelopment of infrastructure or purchase of any electronic equipment. No environmental risk or potential impacts are expected on the biophysical environment, human health and safety, and/or valued environmental components from the project activities. The project activities are limited to the design of M&E training materials, diagnostic and situational analyses, research, production of knowledge products, curriculum development, assistance in knowledge sharing and dissemination such as scholarships, internships, fellowships, mentoring, study tours, and south-south and north-south knowledge exchanges, delivery of online and onsite training as well as the organization of learning workshops and events.

Social Risk Rating

Low

The overall project social risk is rated as low. The project activities are not expected to incur significant adverse social impacts and risks given the range and nature of activities anticipated under the grant. There could be risks associated with the employment conditions of staff by the Center for carrying out project activities, potential sexual harassment, and discrimination among the project delivery team and beneficiary participants in potential face-to-face project activities. However, these risks are considered low. They are largely confined to an office environment and will be reduced with the current COVID-19 context, as training interactions are anticipated to remain largely virtual at least for the early phase of the grant implementation. The project will give priority considerations in the TA programs and activities to potential beneficiaries who represent underserved demographics, geographies, and sectors including vulnerable disadvantaged and indigenous groups in Brazil and historically underserved traditional/local communities in Lusophone African Countries. The project will ensure broad information dissemination of its training activities and engagement to facilitate broad participation of potential beneficiaries, particularly the marginalized groups, NGOs, and other institutions who may represent vulnerable segments of society for maximum project development benefits. The project will also include a grievance mechanism. The key stakeholders involved here include CLEAR LAB staff, staff of partner organizations and consultants, as well as the



participants and attendants of the CLEAR LAB’s activities from government agencies, NGOs, think tanks, private sector, and other agencies from developing countries. The project is not expected to incur major stakeholder risks.

B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered

B.1. General Assessment

ESS1 Assessment and Management of Environmental and Social Risks and Impacts

Overview of the relevance of the Standard for the Project:

The standard is relevant. The project is focusing on capacity building in monitoring and evaluation. The project activities are limited to the design of M&E training materials, diagnostic and situational analyses, research, production of knowledge products, curriculum development, assistance in knowledge sharing and dissemination such as scholarships, internships, fellowships, mentoring, study tours, and south-south and north-south knowledge-exchanges, delivery of online and onsite training as well as the organization of learning workshops and events. There are no civil works or equipment procurement involved. These activities are not expected to have adverse environmental and social impacts. The capacity-building efforts are likely to have indirect positive environmental effects by supporting the strengthening, monitoring, and evaluation capacity in the beneficiary countries over natural resources, protected areas, forests, and a range of ecosystems, as well as management of (global) environmental issues such as climate change, pollution, waste, and degradation of natural systems, align with the Sustainable Development Goals (SDGs). The project will not produce any advice on policies, regulations, strategies, etc. that could adversely influence environmental management.

To maximize its development benefits, the project will ensure broad information dissemination to raise awareness on the engagement of the project activities to facilitate broad participation in the training program. This is particularly so with the marginalized groups, such as women, indigenous groups, people with disabilities, and other under-represented groups and sectors so that they can benefit equally from the project training activities.

The project will be implemented by staff members of FGV and potential partner institutions, as well as contracted short-term consultants/experts where deemed necessary. There may be potential risks for labor-related issues among the project staff, such as workplace sexual harassment and discrimination. However, these risks are considered low. They are largely confined to an office environment and will be reduced with the current COVID-19 context, as training interactions are anticipated to remain largely virtual.

Since no significant environmental social impacts and risks are expected from the grant activities, the recipient is not expected to conduct further analyses than the one carried out in this ESRS, or prepare any free-standing instruments to manage the environmental social impacts and risks. An appraisal stage ESRS is also not required. The ESCP will reflect all necessary actions and measures to address any project-related environmental and social risks.

Areas where “Use of Borrower Framework” is being considered:

Borrower framework is not considered to be used.

ESS10 Stakeholder Engagement and Information Disclosure



This standard is relevant. The grant targets and benefits a range of stakeholders: (i) government agencies (the core of government including monitoring and evaluation agencies, line ministries, and sub-national governments) looking for sustained engagements to resolve or satisfy their M&E needs; (ii) civil society organizations and private foundations that help strengthen the M&E systems; and (iii) M&E capacity providers (academic and training institutions, and consulting firms) looking to generate alliances to expand and strengthen the market for M&E services.

The key stakeholders involved in this project include staff members of FGV who will implement the project and potential partner organizations from Lusophone Africa and Brazil who may join forces with CLEAR LAB to implement capacity-building activities as and when synergies exist and the potential beneficiary individuals from those countries.

The project will continue the past efforts of CLEAR LAB in stakeholder engagement to improve the training program and tailor its design of delivery under the current pandemic situation. Such engagement includes feedback surveys from participants, disaggregated by gender, and internal engagement with the CLEAR LAB delivery team, such as after-action reviews with workshop instructors and facilitators. The project will ensure broad information dissemination to raise awareness on the engagement of the project activities to facilitate broad participation in the training program. This is particularly so with the marginalized groups, such as women, indigenous groups, and other under-represented groups so that they can benefit equally from the project training activities. The project will be implemented by staff members and further engagement with stakeholders in the broader evaluation community will be facilitated through the GEI Network and other outreach activities, such as evaluation conference presentations and more.

In addition to the existing grievance redress mechanism in place at FGV, FGV will establish the following specific GRM mechanisms, to be aligned with ESS10 requirements, to address any grievances under the project.

- One member of the project management team will be assigned the responsibility to manage grievance resolution under the project, in a manner consistent with the ESS10 of the ESF.
- All grievances will be registered, classified and the project will keep a grievance log for their record.
- All grievances will be deliberated and addressed in a transparent and timely fashion. The complainant will be informed timely of the resolution response.
- Maintain an updated list of service providers that can be used to refer potential victims of sexual harassment.
- The project will maintain the identities of sexual harassment victims/complainants as confidential.
- If the complainant is not satisfied with the resolutions under the project, he or she can continue, at their own liberty, to appeal their cases under legal channels and means available under the laws of Brazil and beneficiary countries.

The above-planned actions will be reflected in the ESCP and a summary of the GRM will also be uploaded and disclosed at the Center's website following clearance from the World Bank. Given the nature and scale of the risks and impacts of the project, the elements of a SEP will be included in the ESCP and the preparation of a stand-alone SEP is not necessary.

B.2. Specific Risks and Impacts

A brief description of the potential environmental and social risks and impacts relevant to the Project.



ESS2 Labor and Working Conditions

The standard is relevant. This standard applies to FGV and all its potential partner organizations. The capacity-building activities supported under the project will be provided through the existing staff of FGV and will be conducted in accordance with ESS1-10. A dedicated team will be mobilized to develop and deliver the M&E courses and manage other activities under the project. This team will consist of existing FGV staff, but there's a possibility that short-term consultant experts may be engaged. The size and composition of the team specifically working on this project is to be determined later. However, due to the size of the grant and the nature of the project, this team is expected to be small. There could also be potential partner organizations from Lusophone Africa and Brazil who may join forces with CLEAR LAB to implement capacity-building activities as and when synergies exist. There could be labor risks involved such as SEA, SH and discrimination issues among project staff members. FGV will implement the project activities in accordance with the labor-related policies and procedures of Brazil and beneficiary countries, supplemented by any additional necessary measures to be consistent with ESF ESS2. The project workers will be subject to a code of conduct with provisions to prevent Gender-Based Violence and Sexual Harassment. The project team will assign one member to take up the responsibility to manage all labor-related issues. FGV will also conduct training for all its project staff to raise their awareness of possible labor risks and the governing policies as well as procedures related to labor.

FGV will follow its existing grievance redress and recruitment policy, which are in line with the national requirements of Brazil and will be supplemented by measures based on ESF provisions, as needed, particularly those in ESS2 and ESS10. Additionally, FGV will establish specific mechanisms to address any grievances under the project in total alignment with relevant ESS 2 and 10 requirements and they will be classified as labor grievances to treat them in their own specificity. Labor-related grievances will be classified as such and addressed under the same project GRM. No separate free-standing GRM will be established for labor-related grievances.

ESS3 Resource Efficiency and Pollution Prevention and Management

This standard is not relevant.

ESS4 Community Health and Safety

This standard is relevant. There could be risks of sexual exploitation and abuse & sexual harassment (SEA /SH) among project staff members, particularly those associated with the interactions between people in an office environment including staff members of CLEAR LAB and project beneficiary participants when in the potential face to face interactions. The project will follow relevant laws in Brazil and beneficiary countries where project activities are implemented in addressing such issues, complemented by any necessary measures to be consistent with the ESF. Most of the project activities will be conducted virtually through online means which will reduce SEA/SH risks during the current COVID-19 context, which is expected to overlap largely with the grant's implementation period. Where face to face interactions are planned, FGV will follow relevant laws of Brazil and the beneficiary countries and the technical advisory and guidelines issued by the World Health Organization for stakeholder engagement in the current COVID-19 pandemic situation

FGV will designate a qualified person to monitor that the above provisions are implemented during project execution, in accordance with the timeframe specified in the ESCP.



ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement

This standard is not relevant.

ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources

This standard is not relevant.

ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities

This standard is not relevant. However, project training activities are open to participants around Brazil and Lusophone African countries, and potential TA beneficiaries could include members from Sub-Saharan African Historically Underserved Traditional Local Communities or institutions such as NGOs or thinktanks who may work and represent their interests. Therefore, the project will give special considerations in their program design and information dissemination on the engagement of the project activities to facilitate their participation in the training programs.

ESS8 Cultural Heritage

This standard is not relevant.

ESS9 Financial Intermediaries

This standard is not relevant.

C. Legal Operational Policies that Apply

OP 7.50 Projects on International Waterways No

OP 7.60 Projects in Disputed Areas No

III. WORLD BANK ENVIRONMENTAL AND SOCIAL DUE DILIGENCE

A. Is a common approach being considered? No

Financing Partners

None

B. Proposed Measures, Actions and Timing (Borrower’s commitments)

Public Disclosure



Actions to be completed prior to Bank Board Approval:

None

Possible issues to be addressed in the Borrower Environmental and Social Commitment Plan (ESCP):

The project will include in the ESCP agreed actions and commitments in compliance with ESS2 on Labor, ESS4 on Community Health and Safety, and ESS10 on stakeholder engagement and grievance redress.

IV. CONTACT POINTS

World Bank

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Borrower/Client/Recipient

Borrower: FGV-EESP

Implementing Agency(ies)

Implementing Agency: Fundação Getulio Vargas - FGV

Implementing Agency: FGV-CLEAR

V. FOR MORE INFORMATION CONTACT

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VI. APPROVAL

Task Team Leader(s):	Leonardo Aleixo Lemes
Practice Manager (ENR/Social)	Maria Gonzalez de Asis Recommended on 02-Nov-2021 at 14:51:7 GMT-04:00

Public Disclosure