

**Fundação Getulio Vargas (FGV)
Centers for Learning on Evaluation and Results
(CLEAR) for Brazil and Lusophone Africa (LAB)**

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

October 29, 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The International Bank for Reconstruction and Development and the International Development Association (hereinafter the “Bank”) acting as administrator of the Global Evaluation Initiative Multi-Donor Trust Fund (Trust Fund No. TF073590) has agreed to provide financing to Fundação Getulio Vargas (FGV), located in Sao Paulo, Brazil (the “Recipient” or “FGV”) for the global monitoring and evaluation capacity development program in Lusophone Africa and Brazil (P177200) (the “Project”), which will be implemented through the Center for Learning on Evaluation and Results for Lusophone African and Brazil (“CLEAR LAB”).
2. *The Recipient, through CLEAR LAB*, will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**) of World Bank’s Environmental and Social Framework (ESF). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents, or plans, as well as the timing for each of these.
3. *The Recipient, through CLEAR LAB*, will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP.
4. *The Recipient, through CLEAR LAB* is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by FGV referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the *Bank* by FGV as required by the ESCP and the conditions of the legal agreement, and the *Bank* will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the *Bank* and *FGV*, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient will agree to the changes with the *Bank* and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the *Bank* and the Recipient. The Recipient will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, FGV shall, if needed, implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank, as part of the overall project progress reporting, reports on the social performance of the Project, including but not limited to the implementation of the ESCP, functioning of the grievance mechanism and inclusion measures of underserved groups</p>	Annual reporting throughout the project implementation.	FGV
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which is likely to have an impact on the public or project workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	Notify the Bank within 48 hours after learning of the incident or accident. A report would be provided within a timeframe acceptable to the Bank, as requested.	FGV
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Assign and maintain FGV staff, with sufficient qualification and experiences on labor and social issues, to be responsible for and manage labor and stakeholder issues under the project, project's grievance mechanism, and ensure that project beneficiaries include those who represent underserved demographics, geographies, and sectors, in a manner acceptable to the World Bank.</p>	Implementation arrangements, including staff assignment with E&S responsibilities will be put in place within 30 days of the respective Grant Agreement effectiveness, and be maintained throughout Project implementation.	FGV

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that Terms of Reference and other documents for technical assistance activities are drafted so that the support provided is consistent with ESSs 1 – 10 and the TORs are acceptable to the Bank.</p>	Preparing terms of reference, acceptable to the Bank, before initiating the respective procurement or procurement processes	FGV
1.3	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Requirements of relevant ESSs are described below. No free-standing E&S instruments will be prepared, but adherence to ESS1-10, particularly as related to inclusion/representation in the scholarship program and other training activities, will be assessed through periodic monitoring, and reported in program reports and the Annual Report</p>	Throughout project implementation.	FGV
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Ensure that the relevant aspects of ESS2 apply to project workers, including, inter alia, measures to ensure their health and safety, to prevent and address Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH) risks, and measures to prevent COVID19 transmission between project workers and beneficiaries, as reflected in the terms of references for the grant activities. The project workers will be subject to the code of conduct with provisions to prevent GBV and SH agreed with FGV.</p> <p>Assign one qualified member of the project management team to take up the responsibility for managing labor-related issues, such as SEA, SH and discrimination, to ensure compliance with governing laws and policies of Brazil and beneficiary countries and ESS2.</p>	<p>Throughout the project implementation</p> <p>Staff member to be assigned within 30 days of grant agreement effectiveness and maintained throughout project implementation</p>	FGV

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a grievance mechanism under the project for the period of the grant consistent with ESS2 and 10. There will be one grievance redress mechanism under the project as described under ESS10 below. Labor-related grievances will be handled through this mechanism.</p>	Established within 30 days of grant effectiveness and maintained throughout project implementation.	FGV
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>The project is not expected to have occupational health and safety issues through its designed activities given the virtual nature of planned activities. Where face to face interactions are planned, FGV will follow relevant technical advisory and guidelines issued in Brazilian Government, governments of any beneficiary countries where these face to face interactions take place, and by World Health Organization for stakeholder engagement in the current COVID-19 pandemic situation, as well as World Bank ESF.</p>	<i>Throughout project implementation</i>	FGV

ESS 4: COMMUNITY HEALTH AND SAFETY [the relevance of ESS4 is established during the ESA process. As with ESS3, ESS4 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS4-related measures are covered under an existing document or as stand-alone actions. See [examples](#) below].

4.1	<p>GBV AND SEA RISKS</p> <p>The risks are possible among project staff. The project will i) hold training to raise awareness among its staff on the risks and the requirements of the relevant policies, staff rules of FGV and ESF, ii) establish provisions to prevent SEA/S in codes of conduct, and iii) address any such issues through its assigned staff.</p> <p>Follow national COVID-19 related restrictions, guidelines, and other related requirements and relevant advisory and guidelines issued by WHO</p>	Throughout project implementation.	FGV
ESS 7	<p>INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</p>		
	<p>Not relevant. However, potential project beneficiaries could include members from indigenous groups, Sub-Saharan African Historically Underserved Traditional Local Communities and/or institutions such as NGOs or thinktanks who may work and represent their interests. Therefore, the project will give special considerations in their program design and information dissemination on the engagement of the project activities to facilitate their participation in the training programs and equal access to the proeject benefits.</p>	Throughout project implementation	FGV

ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE

<p>10.1</p>	<p>STAKEHOLDER ENGAGEMENT DURING PROJECT PREPARATION AND IMPLEMENTATION</p> <p>Ensure that the grant activities incorporate stakeholder engagement and information disclosure in a manner consistent with ESS 10. This should be based on the mapping of stakeholders and include sharing information and consulting on the purpose, nature and scale of the grant activities, duration, potential risks and impacts, and measures to address them, engagement processes and how stakeholders can participate and a means by which complaints/grievances can be raised, with a social inclusion consideration in the program dissemination efforts for beneficiaries who represent underserved demographics, geographies, and sectors, and potential indigenous groups.</p>	<p>Ongoing and throughout project implementation</p>	<p>FGV</p>
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<p>10.2</p>	<p>PROJECT GRIEVANCE MECHANISM:</p> <p>Receive and resolve any complaints submitted in relation to the grant activities, in accordance with ESS10 and ESS2, in a manner acceptable to the World Bank.</p> <p>The project will follow existing GRM policies and mechanisms of FGV. Additionally, the project will adopt the following GRM actions:</p> <ul style="list-style-type: none"> • One member of the project management team will be assigned the responsibility to manage grievance resolution under the project, in a manner consistent with the ESS10 of the ESF. • All grievances will be registered, classified and the project will keep a grievance log for their record, which will be included in regular reporting described in Section A above. • All grievances will be deliberated and addressed in a transparent and timely fashion. The complainant will be informed of the resolution response. • Maintain an updated list of GBV service providers, which can be used to refer potential survivors of SEA and SH-related incidents. • The project will maintain the GRM users' identity as confidential and will accept anonymous complaints. <p>The above GRM actions will be summarized in a separate document. Following the Bank's clearance, the document will be uploaded and disclosed publicly on FGV's website.</p>	<p>The grievance mechanism should be in place and publicly disclosed on the FGV's website within 30 days of signing the grant agreement and maintained throughout the project implementation.</p>	<p>FGV</p>
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